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| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b> |  | ATTORNEY'S DOCKET NUMBER<br>246152024400                            |
|   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/517220</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/NL03/00352   | INTERNATIONAL FILING DATE<br>14 May 2003 | PRIORITY DATE CLAIMED<br>7 June 2002                                |
| TITLE OF INVENTION    IMPROVED METHOD FOR THE PREVENTION OR REDUCTION OF HAZE IN BEVERAGES  |  |   |
| APPLICANT(S) FOR DO/EO/US    Luppo EDENS et al.   |  |   |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. (3 pages)
13. ☒ A preliminary amendment. (7 pages)
14. ☒ An Application Data Sheet under 37 CFR 1.76. (2 pages)
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Notification of Receipt of Record Copy (1 page); Combined Declaration and Power of Attorney (3 pages); and Return Receipt Postcard

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.55)<br><b>10/517220</b><br>Not Yet Assigned | INTERNATIONAL APPLICATION NO.<br><b>PCT/NL03/00352</b> | ATTORNEY'S DOCKET NUMBER<br><b>246152024400</b> |
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| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO ..... \$1110.00<br><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... \$950.00<br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$790.00<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$750.00<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00<br><br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b><br>Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months<br>from the earliest claimed priority date (37 CFR 1.492 (e)). | <b>CALCULATIONS</b> PTO USE ONLY<br><br><br><br><br><br><br><br><br><br><table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: right;">\$ 950.00</td> <td style="width: 50%;"></td> </tr> <tr> <td style="text-align: right;">\$</td> <td></td> </tr> </table> | \$ 950.00 |  | \$ |  |
| \$ 950.00   |  |           |  |    |  |
| \$  |  |           |  |    |  |

| CLAIMS   | NUMBER FILED | NUMBER EXTRA | RATE    |                           |          |
|--|--------------|--------------|---------|---------------------------|----------|
| Total claims   | 31-20 =      | 11           | x 18.00 | \$                        | 198.00   |
| Independent claims   | 2-3 =        |              | x       | \$                        | 0.00     |
| MULTIPLE DEPENDENT CLAIM(s) (if applicable)  |              |              |         | +                         | \$       |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |              |         | \$                        | 1,148.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above<br>are reduced by 1/2.  |              |              |         | \$                        |          |
| <b>SUBTOTAL =</b>  |              |              |         | \$                        | 1,148.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months<br>from the earliest claimed priority date (37 CFR 1.492 (f)).                        |              |              |         | \$                        |          |
| <b>TOTAL NATIONAL FEE =</b>  |              |              |         | \$                        | 1,148.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must<br>be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + |              |              |         | \$                        | 40.00    |
| <b>TOTAL FEES ENCLOSED =</b>   |              |              |         | \$                        | 1,188.00 |
|  |              |              |         | Amount to be<br>refunded: | \$       |
|  |              |              |         | Amount to be<br>charged:  | \$       |

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 03-1952 in the amount of \$ 1,188.00  
to cover the above fees. A duplicate copy of this sheet is enclosed.

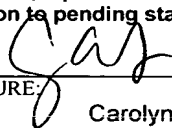
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 03-1952. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card**  
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

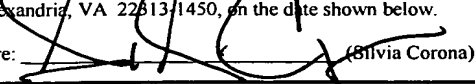
**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive  
(37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Carolyn A. Favorito  
Morrison & Foerster LLP  
3811 Valley Centre Drive, Suite 500  
San Diego, CA 92130  
CUSTOMER NUMBER: 25225

SIGNATURE   
NAME Carolyn A. Favorito

REGISTRATION NUMBER 39,183

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| I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL 961007466 US, in an envelope addressed to: MS.PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below. |  |
| Dated: <u>December 7, 2004</u>  | Signature: <u></u> (Silvia Corona) |